December 27, 2021

TECHNICAL NOTE on Class II Aquatic Animals and Plants (Draft)

1. Introduction

Japan’s catch documentation scheme under Act on Ensuring the Proper Domestic Distribution and Importation of Specified Aquatic Animals and Plants (the Act), which is developed based on the European Community catch certification scheme (the EU catch certification scheme), requires that Class II Aquatic Animals and Plants (Class II) shall not be imported into Japan without a catch certificate issued by the flag State of the vessel catching Class II to validate that such catches have been made in accordance with applicable laws, regulations, and international conservation and management measures. To combat illegal, unreported, and unregulated (IUU) fishing, one of the most serious threats to the sustainable use of living aquatic resources, the European Union (EU) and the United States (US) have legislations to prevent the import of IUU catches. In order to fulfill Japan’s responsibility as the world's third largest importer of fishery products after the EU and the US, Japan will introduce a legislation to prevent the import of IUU catches into Japan, aiming at contributing to global efforts to eliminate IUU fishing.

In order to properly address the issue of IUU fishing in its entirety, Japan will also introduce regulation on the Japanese domestic market. To prevent IUU catches taken in Japan from being exported outside of Japan, the regulation requires that Class I Aquatic Animals and Plants (Class I) shall not be exported outside of Japan without a legal harvest certificate (see INFORMATATION NOTE 2(1) for Class I).

2. Class II Aquatic Animals and Plants subject to the Catch Documentation Scheme

(1) Fish species subject to the catch documentation scheme

The following fish species are designated as Class II. These species are recognized to be particularly vulnerable to IUU fishing by foreign flagged vessels under foreign laws or international conservation and management measures, which needs to be managed under import regulation.

* Squid and cuttle fish
* Pacific saury (*Cololabis spp.*)
* Mackerel (*Scomber spp.*)
* Sardine (*Sardinops spp.*)

※Tuna and other fish species for which import regulation with a view to prevent IUU fishing is already in place under other programs are excluded from Japan’s catch documentation scheme.

The fish species are designated based on recommendation of the Task Force on the Scheme of Ensuring the Proper Distribution of Fishery products consisting of various stakeholders, and on the advice of the Fisheries Policy Council. The designated fish species are scheduled to be reviewed every two years or so.

(2) Exclusion of products

Of those derived from the fish species listed in (1) above, organs, roes, flours, fishery products not fit for human consumption, oils, extracts, etc. are excluded from the scope of the catch documentation scheme.

(3) Processed fishery products

When Class II is imported into Japan after being processed in a third country other than the flag State, the importer shall submit a processing statement shown in 4 (a) in addition to the catch certificate. “Processing” herein includes processes that significantly change the structure of fishery products, such as drying, salting, and smoking, etc.

When Class II is cut in a third country and imported into Japan as fillets, etc., it is treated as processed fishery products. On the other hand, freezing is a quality preservation measure, so simply freezing is not considered as processing under the scheme. For this reason, when importing such resources that are only frozen in a third country other than the flag State into Japan, the documents shown in 4 (b) shall be submitted, instead of the document shown in 4 (a).

(4) Harmonized System Code (Attachment 1)

For the matter of simplicity for business operators and consideration for import practices, items subjected to the catch documentation scheme are based on the Harmonized System Code (HS Code) as shown in Attachment 1.

Please note that some fish species that are not in the scope of this scheme are listed in　attachment 1 for technical reasons, but only the fish species shown in 2(1) are subject to the scheme.

3. Catch Documentation Scheme

(1) Catch certificate

The catch certificate stipulated in Article 11 of the Act is document(s) validated by a competent authority of the flag State of the vessel catching Class II that certifies that such Class II (in case of processed fishery products, the Class II that are their raw ingredient) were legally caught. The catch certificate shall be validated by the government agency of the flag State of the fishing vessel catching Class II which are imported into Japan. When Class II is exported to Japan, the importer shall submit a catch certificate and if applicable, other document(s) provided for in the Ordinance of the Ministry of Agriculture, Forestry and Fisheries (See Section 4 for other documents). This catch document scheme is developed based on the EU catch certification scheme, so the information to be filled in the certificate is the same as those in the EU’s catch certificate. Since EU catch certification scheme has been in force for more than 10 years and has already been widely accepted in more than 90 countries in the world, it is assumed that governments and business operators of the relevant countries are already familiar with implementation of the catch documentation scheme. But if there are any questions on the implementation of Japan’s scheme, Japan will provide advice in the implementation of the scheme.

(2) Flag State notification

In order to ensure the effectiveness of the catch documentation scheme, it is essential that the flag State that issues catch certificates has a sufficient system in place to attest the veracity of the information on the legality of the products concerned contained in the certificate. For this purpose, States exporting Class II to Japan shall notify Japan of the followings after consultation with Japan.

* National arrangements for the implementation, control and enforcement of laws, regulations which regulate illegal fishing and conservation and management measures which must be complied with by its fishing vessel;
* Name, address, and seal/stamp of the competent authority(s) that are empowered to implement the followings:

・Manage registration of fishing vessels under its flag;

・Grant, suspend or withdraw of fishing licenses to its fishing vessels;

・Implement, control and enforce relevant laws, regulations and conservation and management measures against their fishing vessels; and

・Verify information in and subsequently validate catch certificates.

* The sample format of the catch certificate.

This notification is not required for each individual export, but shall be provided by the State when introducing Japan’s catch documentation scheme after the consultation. The notification shall also be provided by the State when the information on the notification is modified. The names of the notified State and organizations will be published on the website of the Fisheries Agency of Japan to inform importers or those who process Class II in third countries other than the flag State of information of the countries that issue catch certificates (please refer to 4 for information about the processing in third countries).

(3) Format of catch certificate

The model format of catch certificate is shown in Attachment 2. The format to be used for export to Japan shall be the one provided through the prior notification and agreed upon with the competent authority of Japan. To prevent any falsification and fraudulent disclosures, all certificates shall be validated by the competent authority of the flag State and managed with a distinctive/unique document number.

The information to be provided in catch certificate are as follows:

(a) Certification authority;

The name of the competent authority that validates a catch certificate and a distinctive number to identify the certificate shall be provided. In case of using a chartered vessel of a State other than the flag State, the authority of the flag State of the chartered vessel shall validate the catch certificate;

(b) Details of the fishing vessel; (c) Description of the fishery product; (d) Information on conservation and management measures; (e) Master of the fishing vessel;

Sections (b) to (e) shall be completed by the master of the fishing vessel or the exporter who receives necessary information from the master except for the information on the verified weight landed. In Section (d), references of applicable conservation and management measures related to the species for which the catch certificate is issued, which the flag State concerned has adopted shall be provided (e.g., restrictions on the number of fishing vessels catch limits, etc.). The measures shall be national or international measures that vessels have to observe. The verified weight landed shall be filled by the validating authority if catches are weighted at landing;

(f) Declaration of transshipment at sea;

In case the transshipment was conducted at sea, this section is to be filled jointly by the masters of the fishing vessel and of the receiving vessel (or their representatives);

(g) Transshipment authorization within a port area;

In the case that transshipment was conducted within a port area, this section is to be filled by the competent authority for transshipments in that port State;

(h) Exporter; (j) Transport details;

The information of the exporter and the details of the transportation route from the catch of fishery products to the import into Japan are to be filled by the exporter;

(i) Flag State authority validation;

It is the responsibility of the competent flag State authority to validate a catch certificate for fishery products which are exported to Japan. The authority shall validate the certificate and fill in this Section when the authority does not have any doubts about the contents of the application submitted by the exporter.

(k) Importer;

The importer in Japan shall fill in the relevant information on this Section before submitting a catch certificate; and

(l) Import control authority;

This Section is to be filled by Japan’s control authority, so there is no need to fill in.

(4) Establishment of Catch Certificate

The competent authority of the flag State that provided the notification stipulated in (2) validates a catch certificate in accordance with the following procedure:

(i) Application for issuance of a catch certificate shall be submitted by the exporter to the competent authority of flag state. The exporter shall request the master of the fishing vessel to fill in Sections (b) to (e), or the exporter shall fill in Sections (b) to (d) based on the information provided by the master. Sections (h) and (j) shall be filled by the exporter;

(ii) Upon receiving an application, the competent authority of flag State shall confirm the information of the application. The authority shall be responsible for confirming that all required information is filled in the catch certificate; there is no ground to doubt its exhaustiveness and accuracy and the compliance with applicable management and conservation measures. When the authority of flag state verifies all of the necessary information, the authority shall fill in Sections (a) and validate Section (i) in order to issue a catch certificate to the exporter;

(iii) Once the exporter receives the validated catch certificate from the competent authority, the exporter shall send its original to the next importer;

(iv) Before the fishery products are imported, the importer shall fill in Section (k) and submit the certificate to the Japan Customs. The importer has the obligation to submit the certificate. When fishery products are imported into Japan via a third country other than the flag state, the documents listed in 4 are also required, and the importer has the obligation to submit these documents as well.

(5) Record keeping of catch certificate

It is advised that the authority of the flag State that validates catch certificates keep a copy of the certificates in accordance with domestic laws and regulations of each country, so that the authority may prove that the authority properly validated the certificates should a problem arise.

(6) The use of Regional Fisheries Management Organizations’ catch documents

Catch documents and any related documents validated in conformity with catch documentation schemes adopted by a regional fisheries management organization (RFMO) which are recognized as equivalent to the catch certificate under the Act can be accepted as an alternative catch certificate under Japan’s catch documentation scheme. At present, however, no catch documents adopted by RFMOs is designated as an alternative for the certificate, since there is no Class II which RFMOs catch documents cover.

(7) Simplified catch certificate

Catches from small-scale fishing vessels that meet one of the following four criteria which are landed in the flag State of those vessels and which together constitute one consignment may be validated by a simplified catch certificate referred to Attachment 3.

① with an overall length less than 12 meters without towed gear; or

② with an overall length of less than 8 meters with towed gear; or

③ without a superstructure; or

④ of less than measured 20 Gross Tonnages (GT).

The simplified catch certificate shall be validated by the competent authority of the flag State.

4. Indirect importation and Outsourced processing of fishery products

When importing fishery products into Japan via a third country other than the flag State, the importer shall submit additional documents. In this case, documents required to be submitted vary depending on whether the processing is conducted in a third country or not.

(a) In the case that fishery products are imported into Japan after being processed in a third country, the following documents are required:

* The catch certificate validated by the competent authority of flag State that certify that the catch is legally caught; and
* A processing statement endorsed by the competent authority of the processing State, which shows an exact description of the unprocessed and processed products and their respective quantities and indicating that the processed products have been processed in that third country from catches accompanied by catch certificate(s) validated by the flag State (see Attachment 4).

(b) In the case that fishery products are imported into Japan without being processed in a third country, the following documents are required:

* The catch certificate validated by the competent authority of flag State that certify that the catch is legally caught; and
* Either of the following documents indicating that the fishery products did not undergo any operations other than unloading, reloading, or any operations designated to preserve them in a good and genuine condition in a third country, and that the products were under the supervision of the competent authority of the third country:
* A document indicating the transportation route of the products from the flag State to the third country; or
* A document issued by the authorities in the third country, which provides details of the fishery products concerned, the dates of unloading and /reloading, the names of the vessels or other means of transport, and the conditions of the products in the third country.

In the case that fishery products are processed in a third country, the processing plant shall declare that the processed fishery products were originated from catches accompanied by a certificate validated by the competent authority of the flag State, and the government of the State where the products were processed shall endorse the processor's statement. In the case that fishery products are processed or handled in a bonded area, competent organizations other than government authorities may validate statements as appropriate. However, the competent organizations shall be designated and notified to Japan in the prior notification after the consultations with Japan as stipulated in 3 (2).

The case in which fishery products originated from catches in Japan are exported to a third country and the products or their re-processed products are imported back into Japan (outsourced processing) is also under the catch documentation scheme.

5. Electronic procedure

The procedures under the catch documentation scheme described in 3 and 4 above may be established, validated or submitted by electronic means or be replaced by electronic traceability systems ensuring the same level of control by authorities. The use of electronic means by a flag State shall be notified to Japan in the prior notification after the consultation with Japan stipulated in 3 (2).

6. Documentary checks

Catch certificates and other documents indicated in 3 and 4 will be checked when Class II are imported to Japan. When conducting such checks, the consideration will be made to avoid useless delays in the import of fishery products

7. Verification

The Minister of Agriculture, Forestry and Fisheries may, to the extent necessary for the enforcement of the Act, request operators who engage in the import businesses of Class II and other operators concerned to make necessary reports, etc. and conduct necessary verification by means such as on-site inspections at the places of businesses and/or boarding inspections.

8. Other legislation applicable to fishery products

Other legislation which applies to imported and/or exported products such as the Food Sanitation Act, and each of such laws and regulations in Japan remains without effecting this Act and vice-versa, and they shall have their own regulatory effects independently.

9. Others

The information described in this technical note will be stipulated in ministerial ordinance and other relevant rules. In addition, a handbook on the implementation of the catch documentation scheme will be provided and published.

(Attachment 1)

Items Subject to the Catch Certification Scheme

Of items classified under each statistical code number in Japan's Tariff Schedule shown below, those items including Squid and Cuttle fish, Pacific saury (*Cololabis spp.*), Mackerel (*Scomber spp.*), and Sardine (*Sardinops spp.*) shall be subject to the catch certification scheme.

As for the statistical code numbers highlighted green, if the fish are processed in a third country, a processing statement endorsed by the competent authority of the government, etc., of the third country shall be submitted in addition to the catch certificate.

For more details on Japan's Tariff Schedule, please refer to the Japan Customs’ website (https://www.customs.go.jp/english/tariff/index.htm).

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Statistical Code Number | | | Item | Species designated as Class II |
| 0301 | 99 | 210 | IQ fishes (Nishin (*Clupea spp.*), Tara (*Gadus spp.*, *Theragra spp.* and *Merluccius spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.* and *Engraulis spp.*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), and Samma (*Cololabis spp.*)) (excluding fry for cultivation) (live) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinopes spp.*) |
| 0302 | 43 | 100 | Sardine (*Sardinops spp.*) (fresh, chilled) | Sardine (*Sardinopes spp.*) |
| 0302 | 44 | 000 | Mackerel (*Scomber scombrus*, *Scomber australasicus*, *Scomber japonicus*) (fresh, chilled) | Mackerel (*Scomber spp.*) |
| 0302 | 49 | 100 | Pacific saury (*Cololabis saira*) and scads (*Decapterus spp.*) (fresh, chilled) | Pacific saury (*Cololabis spp.*) |
| 0302 | 89 | 190 | Other IQ fishes (Nishin (*Clupea spp.*), Saba (*Scomber spp.*), red-eye round herring (*Etrumeus spp.)*) (fresh, chilled) | Mackerel (*Scomber spp.*) |
| 0302 | 99 | 910 | Fish offal of Nishin (*Clupea spp.*), Tara (*Gadus spp., Theragra spp.* and *Merluccius spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (excluding maws) (fresh, chilled) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0303 | 53 | 100 | Sardine (*Sardinops spp.*) (frozen) | Sardine (*Sardinops spp.*) |
| 0303 | 54 | 000 | Mackerel (*Scomber scombrus*, *Scomber australasicus*, *Scomber japonicus*) (frozen) | Mackerel (*Scomber spp.*) |
| 0303 | 59 | 120 | Pacific saury (*Cololabis saira*) (frozen) | Pacific saury (*Cololabis spp.*) |
| 0303 | 89 | 129 | Other IQ fishes (Saba (*Scomber spp.*), red-eye round herring (*Etrumeus spp.*)) (frozen) | Mackerel (*Scomber spp.*) |
| 0303 | 99 | 912 | Fish offal of Mackerel (*Scomber scombrus*, *Scomber australasicus*, *Scomber japonicus*) (excluding maws) (frozen) | Mackerel (*Scomber spp.*) |
| 0303 | 99 | 919 | Fish offal of Buri (*Seriola spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (excluding maws) (frozen) | Pacific saury (*Cololabis spp.*)  Sardine (*Sardinops spp.*) |
| 0304 | 49 | 100 | Nishin (*Clupea spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.* and *Engraulis spp.*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), and Samma (*Cololabis spp.*) (fillet) (fresh, chilled) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0304 | 59 | 100 | Nishin (*Clupea spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.)*, Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (fish meat) (fresh, chilled) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0304 | 89 | 100 | Nishin (*Clupea spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (fillet) (frozen) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0304 | 99 | 120 | Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (fish meat) ) (frozen) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0305 | 39 | 210 | Nishin (*Clupea spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (fillet) (salted, dried) | Pacific saury *(Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0305 | 54 | 100 | Herrings (*Clupea harengus*, *Clupea pallasii*), Iwashi (*Sardinops spp.* and *Engraulis spp.*), Mackerel (*Scomber* *scombrus*, *Scomber* *australasicus*, *Scomber* *japonicus*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), Pacific saury (*Cololabis saira*) (dried) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0305 | 59 | 020 | IQ fishes (Nishin (*Clupea spp*.), Buri (*Seriola spp.*), Saba (*Scomber spp.*), red-eye round herring (*Etrumeus spp.*)) (dried) | Mackerel (*Scomber spp.*) |
| 0305 | 69 | 091 | Nishin (*Clupea spp.*), Tara (*Gadus spp.*, *Theragra spp.* and *Merluccius spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, and *Sardinops spp.*), Aji (*Trachurus* *spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (salted) | Pacific saury (Cololabis spp.)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0305 | 79 | 222 | Edible fish offal of Nishin (*Clupea spp.*), Tara (*Gadus spp.*, *Theragra spp.* and *Merluccius spp.* excluding cod), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*, *Sardinops spp.*, and *Engraulis spp.*), Aji (*Trachurus spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (dried) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0305 | 79 | 324 | Edible fish offal of Nishin (*Clupea spp.*), Tara (*Gadus spp.*, *Theragra spp.* and *Merluccius spp.*), Buri (*Seriola spp.*), Saba (*Scomber spp.*), Iwashi (*Etrumeus spp.*and *Sardinops spp.*), Aji (*Trachurus spp.* and *Decapterus spp.*), Samma (*Cololabis spp.*) (salted) | Pacific saury (*Cololabis spp.*)  Mackerel (*Scomber spp.*)  Sardine (*Sardinops spp.*) |
| 0307 | 42 | 010 | Mongo ika (live, fresh, and chilled) | Cuttle fish and Squid |
| 0307 | 42 | 090 | Squids and cuttle fishes excluding Mongo ika (live, fresh, and chilled) | Cuttle fish and Squid |
| 0307 | 43 | 010 | Mongo ika (frozen) | Cuttle fish and Squid |
| 0307 | 43 | 020 | Neon flying squid (*Ommastrephes* *bartramii*) (frozen) | Cuttle fish and Squid |
| 0307 | 43 | 030 | Japanese flying squid (*Todarodes pacificus*), Jumbo flying squid (*Dosidicus gigas*), Japanese squid (*Loliolus spp.*), Shortfin squid (*Illex spp.*) and Sparkling enope squid (*Watasenia scintillans*) (frozen) | Cuttle fish and Squid |
| 0307 | 43 | 090 | Squids and cuttle fishes excluding Mongo ika, Neon flying squid (*Ommastrephes* *bartramii*), Japanese flying squid (*Todarodes pacificus*), Jumbo flying squid (*Dosidicus gigas*), Japanese squid (*Loliolus spp.*), Shortfin squid (*Illex spp.*) and Sparkling enope squid (*Watasenia scintillans*) (frozen) | Cuttle fish and Squid |
| 0307 | 49 | 210 | Mongo ika (salted, dried) | Cuttle fish and Squid |
| 0307 | 49 | 290 | Squids and cuttle fishes excluding Mongo ika (salted, dried) | Cuttle fish and Squid |
| 0307 | 49 | 500 | Squids and cuttle fishes (smoked) | Cuttle fish and Squid |
| 1604 | 13 | 010 | Prepared sardines, sardinella and brisling or sprats products (in airtight containers) | Sardine (*Sardinops spp.*) |
| 1604 | 13 | 090 | Prepared sardines, sardinella and brisling or sprats products (excluding airtight containers) | Sardine (*Sardinops spp.*) |
| 1604 | 15 | 000 | Prepared mackerel products | Mackerel (*Scomber spp.*) |
| 1605 | 54 | 100 | Prepared cuttle fish and squid (smoked) | Cuttle fish and Squid |
| 1605 | 54 | 911 | Prepared cuttle fish and squid (in airtight containers) (excluding the smoked) (containing rice) | Cuttle fish and Squid |
| 1605 | 54 | 919 | Prepared cuttle fish and squid (excluding the smoked) (excluding containing rice) | Cuttle fish and Squid |
| 1605 | 54 | 991 | Prepared cuttle fish and squid (excluding airtight containers) (excluding the smoked) (containing rice) | Cuttle fish and Squid |
| 1605 | 54 | 999 | Prepared cuttle fish and squid (excluding airtight containers) (excluding the smoked) (excluding containing rice) | Cuttle fish and Squid |

(Attachment 2)

Catch Certificate for Class II Aquatic Animals and Plants under Article 11 of the Act on Ensuring the Proper Domestic Distribution and Importation of Specified Aquatic Animals and Plants.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| (a)Validating authority | | | | | | | | | | | | | | | | |
| Document number: | | | | | | | | Validating authority | | | | | | | | |
| Name: | | | | | Address: | | | | | | | Tel./Fax | | | | |
| (b) Fishing vessel information | | | | | | | | | | | | | | | | |
| Fishing vessel name: | | | Flag – Home port and registration number: | | | | | | Call sign: | | | | IMO/Lloyd’s number/Unique Vessel Identifier (if issued): | | | |
| Fishing license No – Valid to | | | | | | | | | Inmarsat No/Fax No/Telephone No/E-mail address (if issued): | | | | | | | |
| (c) Product information | | | | | | | | | | | | (d) Resource management | | | | |
| Description of product: | | | | | Type of processing authorized on board: | | | | | | | Reference of applicable conservation and management measures: | | | | |
| Species: | Product code: | | | | Catch area(s) and dates: | | | | Estimated live weight (kg): | | | Estimated weight to be landed (kg): | | | | Verified weight landed (kg) where appropriate: |
| (e) Master of fishing vessel | | | | | | | | | | | | | | | | |
| Name of master of fishing vessel – Signature – Seal: | | | | | | | | | | | | | | | | |
| (f) Declaration of transshipment at sea | | | | | | | | | | | | | | | | |
| Name of master of fishing vessel: | | | Signature and date: | | | | | | Transshipment date/area/position: | | | | Estimated weight (kg): | | | |
| Master of receiving vessel: | | Signature: | | | | | Vessel name: | | | | Call sign: | | | | IMO/Lloyd’s number/Unique Vessel Identifier (if issued): | |
| (g) Transshipment authorization within a port area | | | | | | | | | | | | | | | | |
| Name: | | Authority: | | | | | Signature: | | | | Address: | | | | Tel.: | |
| Port of landing; | | | | | | Date of landing: | | | | | | Seal (stamp): | | | | |
| (h) Exporter: | | | | | | | | | | | | | | | | |
| Name and address of exporter: | | | | Signature: | | | | | | Date: | | | | Seal (stamp): | | |
| (i) Flag State authority validation | | | | | | | | | | | | | | | | |
| Name/Title: | | | | Signature: | | | | | | Date: | | | | Seal (stamp): | | |
| (j) Transport details (see Document 1) | | | | | | | | | | | | | | | | |
| (k) Importer declaration | | | | | | | | | | | | | | | | |
| Name and address of importer: | | Signature: | | | | | Date: | | | | Seal: | | | | Product CN code: | |
| Documents concerning import via a third country: | | | | | | | | | | | | | | | | |
| (l) Import control - authority | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | |

(Document 1)

Transport details

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Country of exportation: | | | | |
| Port/airport/other place of departure: | | | | |
| Exporter signature: | | | | |
| ・Vessel name and Flag state  ・Flight　number/airway bill number  ・Truck nationality and registration number  ・Railway bill number  ・Other　transport document | Container number (s)  (List attached): | Name: | Address: | Signature: |

(Attachment 3)

Catch Certificate for Class II Aquatic Animals and Plants under Article 11 of the Act on Ensuring the Proper Domestic Distribution and Importation of Specified Aquatic Animals and Plants (Simplified form for small-scale fishing vessels)

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| (a) Validating authority | | | | | | | | | | |
| Document number: | | | Validating authority (Name, address, tel., fax): | | | | | | | |
| (b) Product information | | | | | | | | (c) Resource management | | |
| Description of product: | | | | | | | | Reference of applicable conservation and management measures: | | |
| Species: | | | | Product code: | | | | Verified weight landed (kg)  (if applicable): | | |
| (d) List of vessels who have provided catches and the quantities by each vessel (name registration number, etc. annexed) | | | | | | | | | | |
|  | | | | | | | | | | |
| (e) Exporter | | | | | | | | | | |
| Name and address of exporter: | | Signature: | | | | Date: | | | Seal (stamp): | |
| (f) Flag State authority validation | | | | | | | | | | |
| Name/title: | | Signature: | | | | Date: | | | Seal (stamp) | |
| (g) Transport details (see Document 1) | | | | | | | | | | |
| (h) Importer declaration | | | | | | | | | | |
| Name and address of Importer: | Signature: | | | | Date: | | Seal (Stamp): | | | Product CN code: |
| Documents concerning import via a third country: | | | | | | | | | | |
| (i) Import control - authority | | | | | | | | | | |
|  | | | | | | | | | | |

(Attachment 4)

Processing Statement of Class II Aquatic Animals and Plants under Article 11 of the Act on Ensuring the Proper Domestic Distribution and Importation of Aquatic Animals and Plants.

I confirm that the processed fishery product \_\_\_\_\_\_\_\_\_\_\_\_\_ (Product description and Combined Nomenclature code) have been obtained from catches imported under the catch certificate(s).

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Catch certificate number: | Vessel name(s) and Flag(s) | Validation　date(s): | Catch description: | Total landed weight (kg): | Catch Processed (fishery products) (kg): | Processed fishery product (kg): |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

Name and address of the processing plant:

Name and address of the exporter (if different from the processing plant):

Approval number of the processing plant:

|  |  |  |  |
| --- | --- | --- | --- |
| Responsible person of the processing plant: | Signature: | Date: | Place: |

Endorsement by the competent authority:

|  |  |  |  |
| --- | --- | --- | --- |
| Official | Signature: | Date: | Place: |